UNITED STATES DISTRICT COURT SOUTHERN DISTRICT OF NEW YORK -------X MPHASIS CORPORATION, :

Plaintiff, : 25-CV-3175 (JMF)

-against- : ORDER

ALBERT ROJAS,

Defendant.

------x

ONA T. WANG, United States Magistrate Judge:

The Court assumes familiarity with the factual and procedural history of this case.

This case was initially referred to me for settlement purposes, (ECF 66), which referral was later amended to include compliance with the Temporary Restraining Order entered by Judge Furman on May 6, 2025 ("the TRO"). (ECF Nos. 120, 121). (See ECF 65). On May 15, 2025, the Court scheduled for May 29 an in-person process at the Courthouse for forensic imaging of *pro se* Defendant's laptop, as the TRO had directed. (ECF 118).

Beginning in the afternoon of May 28, Defendant sent four emails to my Chambers attaching documents for filing that appeared to relate to the May 29 imaging process. (*See* ECF Nos. 136, 140 at 9). Because Defendant claimed that he would not bring the devices for imaging, I addressed the filings in an Order the same day, instead of waiting for the documents to be entered on the Docket. (ECF 136). Minutes after the Court emailed the May 28 Order to the parties, Defendant sent another email that opened with "Thank you for your May 28, 2025 Order [] addressing my Emergency Clarification Request," and: attached a copy of yet another purported emergency request; objected to my May 28 Order under Fed. R. Civ. P. 72(a); and

raised substantially the same objections to the imaging process. The Court responded to all counsel by email, stating: that the Court does not communicate with parties or negotiate its Orders by email; that all requests for relief must be filed on the docket; and that the Court would disregard all future email correspondence unless the Court specifically directed the parties to contact Chambers by email. The Court's response is attached hereto as Exhibit A.

On May 29, Defendant appeared, but did not bring his laptop as directed. (ECF 140). Accordingly, on Friday, May 30, 2025 the Court issued an order to show cause, directing Defendant to show cause by Friday, June 6, 2025, why he should not be sanctioned for failure to comply with the imaging process. (ECF 140).

Since May 29, Defendant has filed more than 50 entries on the docket, and emailed my Chambers **five more times** regarding these filings:

- On Friday, May 30, at 1:46 p.m., Defendant emailed Judge Furman's Chambers, copying my Chambers, Plaintiff's counsel, and two employees of non-party QBE, forwarding correspondence with the Pro Se Office regarding docketing approximately ten .pdf files;
- At 2:01 p.m., Plaintiff's counsel responded to Defendant's email, keeping the Court and the non-parties copied¹;
- At 2:07 p.m., Defendant responded to Plaintiff's email, keeping the Court and the nonparties copied;
- On Saturday, May 31, at 9:44 p.m., Defendant sent an email to the *Pro Se* Office, regarding filing another 30 documents, on which he again copied my Chambers;

¹ The Court's email directed the parties to cease emailing Chambers, and so Plaintiff's counsel has also failed to follow the Court's instruction. See Exhibit A.

• At 10:09 p.m. on the same day, Defendant sent another email to the *Pro Se* Office, regarding re-filing another 12 documents, on which he again copied my Chambers; and

On Tuesday, June 3, at 5:09 p.m., Defendant sent another email directed to my
 Chambers, copying the *Pro Se* Office, purporting to circulate a "courtesy copy" of yet another filing.

Chambers does not require or request courtesy copies of any kind. (See Individual Practices in Civil Cases). The parties were also already previously directed NOT to email Chambers.² The parties are hereby ORDERED to send no more emails to Chambers unless explicitly instructed to do so. (See Individual Practices in Civil Cases at § II). The Court encourages judicious use of "Reply" (instead of "Reply All") if parties intend to correspond with each other. If any party sends unsolicited email to Chambers in violation of this Order, then, pursuant to Fed. R. Civ. P. 16(f) and the Court's inherent authority, the Court will issue sanctions in the amount of \$5 per email, to be paid to the Clerk of Court.

SO ORDERED.

Dated: June 4, 2025

New York, New York

s/ Ona T. Wang

Ona T. Wang

United States Magistrate Judge

3

² Defendant has since filed an objection, pursuant to Fed. R. Civ. P. 72(a), directed to Judge Furman regarding, *inter alia*, my instruction not to email Chambers. (ECF Nos. 145, 183).

EXHIBIT A

Wang NYSD Chambers

Wednesday, May 28, 2025 6:09 PM Wang NYSD Chambers From: Sent:

ö

Subject:

ü

RE: Activity in Case 1:25-cv-03175-JMF-OTW MPHASIS Corporation v. Rojas Order on Motion for Discovery Karseboom, Kimberly R.

The Court does not communicate with parties or negotiate its Orders by email. All requests for relief must be filed on the docket. The Court will disregard Mr. Rojas,

all future email correspondence unless the Court has specifically directed the parties to contact Chambers by email.

Chambers Staff

From: A R <rojas.albert@gmail.com>

Sent: Wednesday, May 28, 2025 5:56 PM

To: Wang NYSD Chambers < Wang_NYSDChambers@nysd.uscourts.gov>

Cc: Karseboom, Kimberly R. <kimberly.karseboom@ogletree.com>

Subject: Re: Activity in Case 1:25-cv-03175-JMF-OTW MPHASIS Corporation v. Rojas Order on Motion for Discovery

CAUTION - EXTERNAL:

Dear Judge Wang,

__) addressing my Emergency Clarification Request. I respectfully submit this response to preserve the record and respectfully request reconsideration, or in the alternative, referral to Judge Furman pursuant to Fed. R. Civ. P. 72(a) Thank you for your May 28, 2025 Order (ECF No. _ and applicable local rules. While I understand the Court's position that the May 29 forensic session is limited to imaging and not search or retrieval, I must respectfully containing years of unrelated, privileged, and constitutionally protected materials—triggers serious constitutional concerns and statutory state that without forensic scope guardrails or privilege protection protocols in place, the compelled imaging of my personal MacBook whistleblower protections under:

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- Sarbanes-Oxley Act (SOX),
- Dodd-Frank Act, and
- New York Labor Law § 740.

data. As I outlined in Section 6 of my Emergency Clarification Request and in ECF 135's Addendum, Mphasis is shielding QBE from scrutiny motion practice regarding unclean hands, and my published documentation of QBE's mishandling of infrastructure and protected health Judge Furman's TRO (ECF 65) was issued without benefit of an evidentiary hearing and predates my filed whistleblower disclosures, my for commercial reasons, despite the undisputed fact that QBE lost a laptop containing protected credentials for five months—an event documented on the record and materially tied to the 2024 healthcare data breach. Further, Mphasis engaged a private investigator who came to my apartment last month attempting to recover the QBE-issued laptop without case. Mphasis's imaging request now functions as a retaliatory enforcement mechanism against the only individual who documented these formal return or audit trail. This off-the-record attempt to conceal endpoint control failure only underscores why QBE must be joined to this

imaging session further amplifies these concerns, as it deprives me of any ability to ensure privilege segregation or enforcement of even the outs—risks irreparable harm and violates the spirit and protections of the Fifth Amendment. The Court's denial of my presence during the Forcing imaging of my personal device under these conditions—without any privilege review, taint team protocol, or whistleblower carvemost basic constitutional boundaries.

Accordingly, I respectfully:

- Object to the May 28, 2025 Order under Fed. R. Civ. P. 72(a) and respectfully request Judge Furman's review of the scope and constitutional adequacy of the forensic protocol;
- Request reconsideration of the denial of my presence during imaging to preserve privilege and constitutional protections;
- **Reassert my right to invoke the Fifth Amendment** in the absence of scope limitations or protective protocols; **2** α 4
- **Request the Court suspend enforcement of ECF 118** pending resolution of these constitutional, statutory, and procedural objections;
- Reaffirm my willingness to cooperate in good faith with any forensic protocol that respects whistleblower immunity, privilege eview, and constitutional protections. 5

unjoined—is inequitable, retaliatory, and undermines judicial integrity. I remain prepared to appear at the courthouse with counsel or under Finally, I respectfully caution that coercing imaging of a personal endpoint under these conditions—while QBE remains shielded and Court supervision if any modified order is issued.

Respectfully submitted,
Albert Rojas
Pro Se Defendant
rojas.albert@gmail.com | (646) 866-1669

Attachment: Emergency_Request_Forensic_Flattened.pdf

On Wed, May 28, 2025 at 5:44 PM Wang NYSD Chambers < Wang NYSDChambers@nysd.uscourts.gov > wrote:

Parties:

Please see the attached Order, which will be entered on the docket tonight.

Thank you,

Chambers Staff

From: A R < rojas.albert@gmail.com>

Sent: Wednesday, May 28, 2025 3:05 PM

To: Karseboom, Kimberly R. <kimberly.karseboom@ogletree.com>

Cc: Wang NYSD Chambers < Wang NYSDChambers@nysd.uscourts.gov>

Subject: Re: Activity in Case 1:25-cv-03175-JMF-OTW MPHASIS Corporation v. Rojas Order on Motion for Discovery

CAUTION - EXTERNAL:

Dear Ms. Karseboom,

Please be advised that I have submitted a formal Emergency Clarification Request to the Court (attached again for reference) requesting constitutionally necessary scope limitations prior to production. That filing was served to both Chambers and opposing counsel and remains pending as of this writing.

Respectfully, I reiterate:

• I am not refusing to comply with the Court's Order; I am requesting lawful clarification and protective parameters consistent with my **Fifth Amendment rights**, which remain firmly in effect unless and until the Court issues scope guardrails.

Case 1:25-cv-03175-JMF-OTW

- Without express limitations restricting forensic access to content originating from @mphasis.com, I will be compelled to invoke my Fifth Amendment privilege against self-incrimination and decline to produce personal devices, as is my constitutional right.
- Any claim for sanctions, costs, or fees would be unwarranted, retaliatory, and unsupported under governing law, especially where the asserted non-compliance arises from a good-faith effort to obtain judicial guidance on a pending constitutional issue.

I further note that this burden is one of Plaintiff's own making, as it failed to provide me with any company-issued device despite having done so for other employees, thereby creating the very circumstances now in dispute.

Should the Court issue the requested forensic limitations before the session, I remain fully prepared to bring my laptop and to remain present with the forensic examiner throughout the process, as requested in my Emergency Clarification filing.

All rights are expressly reserved.

Respectfully,

Albert Rojas

Pro Se Defendant

rojas.albert@gmail.com | (646) 866-1669

Attachment: Emergency_Request_Forensic_Flattened.pdf

On Wed, May 28, 2025 at 3:00 PM Karseboom, Kimberly R. <kimberly.karseboom@ogletree.com> wrote:

My client has paid for a third-party examiner to fly to New York for the collection to comply with the date as scheduled by the Court. If you are not going to comply and bring your personal Mac tomorrow under the current Order as written without further communication from the Court, we will be pursuing costs and fees associated with your failure to comply. Consider this reasonable notice of such.

Kimberly R. Karseboom | Ogletree Deakins

599 Lexington Avenue, 17th Floor | New York, NY 10022 | Telephone: 212-492-2078 kimberly.karseboom@ogletree.com | www.ogletree.com | Bio

From: A R <rojas.albert@gmail.com>

Sent: Wednesday, May 28, 2025 2:52 PM

To: Wang NYSD Chambers < Wang NYSDChambers@nysd.uscourts.gov>

Cc: Karseboom, Kimberly R. < Kimberly.karseboom@ogletreedeakins.com>; NYSD Electronic device requests

<Electronic device requests@nysd.uscourts.gov>; William Jarrett <William Jarrett@nysd.uscourts.gov>

Subject: Re: Activity in Case 1:25-cv-03175-JMF-OTW MPHASIS Corporation v. Rojas Order on Motion for Discovery

[Caution: Email received from external source]

Dear Judge Wang,

I write to respectfully follow up on today's entry of ECF 135, which authorizes me to bring my personal MacBook and iPhone into the courthouse for the May 29 forensic session. However, I must reiterate my Emergency Clarification Request submitted earlier today—attached again here for reference—which noted that without explicit forensic scope limitations (i.e., search protocols confined strictly to emails or documents originating from @mphasis.com), I will be compelled to invoke my Fifth Amendment rights and decline to bring my personal laptop. While ECF 135 confirms the devices authorized for courthouse entry, it does not include the requested forensic guardrails necessary to protect against unlawful overreach into privileged or constitutionally protected materials.

Accordingly, I respectfully renew my request that the Court:

- Clarify or amend the forensic protocol to limit access solely to content originating from @mphasis.com;
- Confirm that in the absence of such guardrails, no adverse inference will be drawn should I lawfully elect not to bring my laptop pursuant to my Fifth Amendment rights; and
- laptop, Gmail account, or disk drive to ensure compliance with scope limitations and the protection of privileged or constitutionally Authorize me to remain physically present with the forensic examiner for the **entire duration** of any review involving my personal protected information. က

This position is made in good faith and not in defiance, and is grounded in constitutional and statutory whistleblower protections,

- 18 U.S.C. § 1833(b) (DTSA whistleblower immunity);
- Sarbanes-Oxley Act;
- Dodd-Frank Act; and
- NY Labor Law § 740.

I apologize for the urgency, but given the scheduled forensic session tomorrow, I respectfully request clarification from the Court **no later** than end of day today, May 28, 2025, to avoid confusion or constitutional conflict at the courthouse.

Thank you again for your time and consideration.

Respectfully,

Albert Rojas

Pro Se Defendant

rojas.albert@gmail.com | (646) 866-1669

Attachment: Emergency_Request_Forensic_Flattened.pdf

On Wed, May 28, 2025 at 1:42 PM A R < rojas.albert@gmail.com > wrote:

Dear Judge Wang,

I write briefly to confirm that I submitted my Emergency Clarification Request earlier this afternoon (around 1:09 PM) in response to ECF Nos. 133 and 134 and the proposed device collection order.

For the Court's convenience, I have re-attached the flattened version to ensure clarity of the record ahead of the May 29 forensic session.

This filing has already been provided to the Pro Se Clerk for docketing,

Thank you for your time and attention to this matter.

Respectfully,

Albert Rojas

Pro Se Defendant

rojas.albert@gmail.com

(646) 866-1669

Attachment: Emergency_Request_Forensic_Flattened.pdf

On Wed, May 28, 2025 at 1:26 PM Wang NYSD Chambers < Wang NYSDChambers@nysd.uscourts.gov> wrote:

From: Karseboom, Kimberly R. <kimberly.karseboom@ogletree.com>

Sent: Wednesday, May 28, 2025 11:25 AM

To: Wang NYSD Chambers < Wang NYSDChambers@nysd.uscourts.gov>

Cc: A R <rojas.albert@gmail.com>

Subject: FW: Activity in Case 1:25-cv-03175-JMF-OTW MPHASIS Corporation v. Rojas Order on Motion for Discovery

CAUTION - EXTERNAL:

Good morning,

Notice of Electronic Filing

The following transaction was entered on 5/28/2025 at 11:07 AM EDT and filed on 5/28/2025

MPHASIS Corporation v. Rojas Case Name:

1:25-cv-03175-JMF-OTW Case Number:

Filer:

Document Number: 134

Docket Text:

ORDER granting in part [133] Letter Motion for Discovery. The Court is in receipt of ECF 133. Plaintiff's motion at ECF 133 is GRANTED in part. Plaintiff is directed to complete and submit to Chambers via email an electronic device order, a copy of which is attached hereto as Exhibit A. SO ORDERED. (Signed by Magistrate Judge Ona T. Wang on 5/28/2025) (rro)

1:25-cv-03175-JMF-OTW Notice has been electronically mailed to:

kimberly.karseboom@ogletreedeakins.com, NYCDocketing@ogletree.com, nancy.archer@ogletree.com Kimberly Rose Karseboom

1:25-cv-03175-JMF-OTW Notice has been delivered by other means to:

Albert Rojas

The following document(s) are associated with this transaction:

Document description: Main Document

Original filename:n/a

Electronic document Stamp:

0] [5efa9f2c14dac432f15d2f92661a8be4cef944418dde97d5e3ec34fdff6662c584 STAMP dcecfStamp_ID=1008691343 [Date=5/28/2025] [FileNumber=33083003-311237adf2e3ca4f2cca190fe3bb6353f9fc93b857bac01abbb9baf1dc462b] This transmission is intended only for the proper recipient(s). It is confidential and may contain attorney-client privileged information. If you are not the proper recipient, please notify the sender immediately and delete this message. Any unauthorized review, copying, or use of this message is prohibited.

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